# 1/11/2018 Disclosure of Interest/ Changes in Interest of Director/ Chief Executive Officer::Notification for Director/CEO in respect of interests in securit...

Disclosure of Interest/ Changes in Interest of Director/ Chief Executive Officer::Notification for Director/CEO in respect of interests in securities

**Issuer & Securities** 

Issuer/ Manager	CHASEN HOLDINGS LIMITED
Securities	CHASEN HOLDINGS LIMITED - SG1X55941717 - 5NV
Stapled Security	No

Announcement Details

Announcement Title	Disclosure of Interest/ Changes in Interest of Director/ Chief Executive Officer
Date & Time of Broadcast	11-Jan-2018 18:16:25
Status	New
Announcement Sub Title	Notification for Director/CEO in respect of interests in securities
Announcement Reference	SG1801110THRRH5C
Submitted By (Co./ Ind. Name)	Low Weng Fatt
Designation	Managing Director and CEO
Description (Please provide a detailed description of the event in the box below - Refer to the Online help for the format)	Please refer to the Form 1 attached.

Additional Details

Person(s) giving notice	Director/Chief Executive Officer who may also be a substantial shareholder/unitholder (Form 1)
Date of receipt of notice by Listed Issuer	10/01/2018
Attachments	Form 1 - Eric - 100118.pdf if you are unable to view the above file, please click the link below. Form 1 - Eric - 100118.pdf Total size = 140K
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### SECURITIES AND FUTURES ACT (CAP. 289) SECURITIES AND FUTURES (DISCLOSURE OF INTERESTS) REGULATIONS 2012

# NOTIFICATION FORM FOR DIRECTOR/CHIEF EXECUTIVE OFFICER IN RESPECT OF INTERESTS IN SECURITIES

(Electronic Format)

FORM

### Explanatory Notes

- 1. Please read the explanatory notes carefully before completing this notification form.
- This form is for a Director/Chief Executive Officer ("CEO") to give notice of his interests in the securities of the Listed Issuer under section 133, 137N or 137Y of the Securities and Futures Act (Cap. 289) (the "SFA"). Please note that the requirement to disclose interests in participatory interests applies <u>only</u> to a director and where the Listed Issuer is a Singapore-incorporated company.
- 3. This electronic Form 1 and a separate Form C, containing the particulars and contact details of the Director/CEO, must be completed by the Director/CEO or a person duly authorised by him to do so. The person so authorised should maintain records of information furnished to him by the Director/CEO.
- 4. This form and Form C, are to be completed electronically and sent to the Listed Issuer via an electronic medium such as an e-mail attachment. The Listed Issuer will attach both forms to the prescribed SGXNet announcement template for dissemination as required under section 137G(1), 137R(1) or 137ZC(1) of the SFA, as the case may be. While Form C will be attached to the announcement template, it will not be disseminated to the public and is made available only to the Monetary Authority of Singapore (the "Authority").
- 5. A single form may be used by a Director/CEO for more than one transaction resulting in notifiable obligations which occur within the same notifiable period (i.e. within two business days of/of becoming aware of, the earliest transaction). There must be no netting-off of two or more notifiable transactions even if they occur within the same day.
- 6. All applicable parts of the notification form must be completed. If there is insufficient space for your answers, please include attachment(s) by clicking on the paper clip icon on the bottom left-hand corner or in item 3 of Part II or item 10 of Part III. The total file size for all attachment(s) should not exceed 1MB.
- 7. Except for item 4 of Part III, please select only one option from the relevant check boxes.
- 8. Please note that submission of any false or misleading information is an offence under Part VII of the SFA.
- 9. In this form, the term "Listed Issuer" refers to -
  - (a) a company incorporated in Singapore any or all of the shares in which are listed for quotation on the official list of a securities exchange;
  - (b) a corporation (not being a company incorporated in Singapore, or a collective investment scheme constituted as a corporation) any or all of the shares in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing;
  - (c) a registered business trust (as defined in the Business Trusts Act (Cap. 31A)) any or all of the units in which are listed for quotation on the official list of a securities exchange;
  - (d) a recognised business trust any or all of the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing; or
  - (e) a collective investment scheme that is a trust, that invests primarily in real estate and real estaterelated assets specified by the Authority in the Code on Collective Investment Schemes, and any or all the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing ("Real Estate Investment Trust").
- 10. For further instructions and guidance on how to complete this notification form, please refer to section 6 of the User Guide on Electronic Notification Forms which can be accessed at the Authority's Internet website at http://www.mas.gov.sg (under "Regulations and Financial Stability", "Regulations, Guidance and Licensing", "Securities, Futures and Fund Management", "Forms", "Disclosure of Interests").

	Part I - General
1.	Name of Listed Issuer:
	Chasen Holdings Limited
2.	Type of Listed Issuer:
	Company/Corporation
	Registered/Recognised Business Trust
	Real Estate Investment Trust
3.	Name of Director/CEO:
Э.	
	Ng Jwee Phuan @ Frederick (Eric)
4.	Is the Director/CEO also a substantial shareholder/unitholder of the Listed Issuer?
	Yes
	✓ No
5.	Is the Director/CEO notifying in respect of his interests in securities of, or made available by,
	the Listed Issuer at the time of his appointment?
	Yes (Please proceed to complete Part II)
	No (Please proceed to complete Part III)
6.	Date of notification to Listed Issuer:

10-Jan-2018

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# Part III - For an incumbent Director/CEO giving notice of an acquisition of, or a change in his interest in, securities of or made available by the Listed Issuer

Transaction A

1. Date of acquisition of or change in interest:

10-Jan-2018

2. Date on which Director/CEO became aware of the acquisition of, or change in, interest *(if different from item 1 above, please specify the date)*:

10-Jan-2018

- 3. Explanation (*if the date of becoming aware is different from the date of acquisition of, or change in, interest*):
- 4. Type of securities which are the subject of the transaction (more than one option may be chosen):
  - ✓ Ordinary voting shares/units of Listed Issuer
  - Other types of shares/units (*excluding ordinary voting shares/units*) of Listed Issuer
  - Rights/Options/Warrants over shares/units of Listed Issuer
  - Debentures of Listed Issuer
  - Rights/Options over debentures of Listed Issuer
  - Contracts over shares of the Listed Issuer which Director/CEO is a party to, or under which he is entitled to a benefit, being contracts under which any person has a right to call for or to make delivery of shares in the Listed Issuer
  - Participatory interests made available by Listed Issuer
  - Others (please specify):
- 5. Number of shares, units, rights, options, warrants, participatory interests and/or principal amount/value of debentures or contracts acquired or disposed of by Director/CEO:

615,650 shares

6. Amount of consideration paid or received by Director/CEO (*excluding brokerage and stamp duties*):

\$\$15,391.25

7.	Circumstance giving rise to the interest or change in interest:
	Acquisition of:
	Securities via market transaction
	Securities via off-market transaction (e.g. married deals)
	Securities via physical settlement of derivatives or other securities
	Securities pursuant to rights issue
	Securities via a placement
	Securities following conversion/exercise of rights, options, warrants or other convertibles
	Disposal of:
	Securities via market transaction
	Securities via off-market transaction ( <i>e.g. married deals</i> )
	Other circumstances :
	Acceptance of employee share options/share awards
	Vesting of share awards
	Exercise of employee share options
	Acceptance of take-over offer for Listed Issuer
	Corporate action by Listed Issuer ( <i>please specify</i> ):
	Others ( <i>please specify</i> ):

8. Quantum of interests in securities held by Director/CEO before and after the transaction. Please complete relevant table(s) below (for example, Table 1 should be completed if the change relates to ordinary voting shares of the Listed Issuer; Table 4 should be completed if the change relates to debentures):

Table 1. Change in respect of ordinary voting shares/units of Listed Issuer

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of ordinary voting shares/units held:	56,000	923,475	979,475
As a percentage of total no. of ordinary voting shares/units:	0.02	0.28	0.3
Immediately after the transaction	Direct Interest	Deemed Interest	Total
No. of ordinary voting shares/units held:	671,650	923,475	1,595,125

	0.18	0.25	0.43
As a percentage of total no. of ordinary			
voting shares/units:			

# Table 3. Change in respect of rights/options/warrants over shares/units of Listed Issuer

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of rights/options/warrants held:	0	615,650 warrants	615,650 warrants
No. ( <i>if known</i> ) of shares/units underlying the rights/options/ warrants:	0	615,650 ordinary shares	615,650 ordinary shares
Immediately after the transaction	Direct Interest	Deemed Interest	Total
No. of rights/options/warrants held:	0	0	0
No. ( <i>if known</i> ) of shares/units underlying the rights/options/ warrants:	0	0	0

9. Circumstances giving rise to deemed interests (*if the interest is such*): [You may attach a chart(s) in item 10 to illustrate how the Director/CEO's deemed interest, as set out in item 8 tables 1 to 8, arises]

Mr Ng Jwee Phuan @ Frederick (Eric) is deemed to be interested in the 923,475 shares held through Citibank Nominees Singapore Pte Ltd.

10. Attachments (*if any*): 🕡

(The total file size for all attachment(s) should not exceed 1MB.)

- 11. If this is a **replacement** of an earlier notification, please provide:
  - (a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (*the "Initial Announcement"*):

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Date	a of	tho	Ini	itia	۱ A I	ond	 	mo	nt.			

- (b) Date of the Initial Announcement:
- (c) 15-digit transaction reference number of the relevant transaction in the Form 1 which was attached in the Initial Announcement:


### 12. Remarks (if any):

The shareholdings before and after the transaction are calculated based on the number of issued of 322,898,611 ordinary shares (excluding 655,107 treasury shares) and 374,592,761 ordinary shares (excluding 1,841,107 treasury shares) respectively.

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Item 13 is to be completed by an individual submitting this notification form on behalf of the Director/CEO.

- 13. Particulars of Individual submitting this notification form to the Listed Issuer:
  - (a) Name of Individual:
  - (b) Designation (*if applicable*):
  - (c) Name of entity (*if applicable*):

# SECURITIES AND FUTURES ACT (CAP. 289) SECURITIES AND FUTURES (DISCLOSURE OF INTERESTS) REGULATIONS 2012

# PARTICULARS AND CONTACT DETAILS FORM

FORM C (Electronic Format)

#### Explanatory Notes

- 1. Please read the explanatory notes carefully before completing this notification form.
- 2. A person giving notice under Part VII of the Securities and Futures Act (Cap. 289) (the "SFA") using Form 1, 3, 5, 6 or 7 shall also complete this form.
- 3. This form must be completed by the person(s) giving notice or another person duly authorised by him/ them to do so. The person so authorised should maintain records of information furnished to him.
- 4. Where more than one person is giving notice using a single Form 3 or 5, the contact details of all the persons giving notice in that form **must** be provided in a single Form C.
- 5. This form is to be completed electronically. The duly completed Form C and Form 1, 3, or 5 should be sent to the Listed Issuer via an electronic medium such as an e-mail attachment. The Listed Issuer will attach both forms to the prescribed SGXNet announcement template for dissemination as required under section 137G, 137R or 137ZC of the SFA, as the case may be.
- 6. While this Form C will be attached to the announcement template, it will not be disseminated to the public and is made available only to the Monetary Authority of Singapore (the "Authority"). The contact details will be used solely for regulatory review purposes.
- 7. If Form 1, 3, 5 or 6 had been released on the securities exchange and there are changes to the accompanying Form C, an amended Form C should be faxed directly to the Authority at +65 6225 1355 or e-mail to SFA\_Notifications@mas.gov.sg. [Note to Listed Issuer: If Form 1, 3, or 5 had been released on the securities exchange and there are changes to <u>your</u> Form C, please send the Authority an amended Form C by fax or e-mail to the afore-mentioned addresses.]
- 8. All applicable parts of this form must be completed.
- 9. Please select only one option from the relevant check boxes.
- 10. In this form, the term "Listed Issuer" refers to -
  - (a) a company incorporated in Singapore any or all of the shares in which are listed for quotation on the official list of a securities exchange;
  - (b) a corporation (not being a company incorporated in Singapore, or a collective investment scheme constituted as a corporation) any or all of the shares in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing;
  - (c) a registered business trust (as defined in the Business Trusts Act (Cap. 31A)) any or all of the units in which are listed for quotation on the official list of a securities exchange;
  - (d) a recognised business trust any or all of the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing; or
  - (e) a collective investment scheme that is a trust, that invests primarily in real estate and real estaterelated assets specified by the Authority in the Code on Collective Investment Schemes, and any or all the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing ("Real Estate Investment Trust").
- 11. For further instructions and guidance on how to complete this notification form, please refer to section 10 of the User Guide on Electronic Notification Forms which can be accessed at the Authority's Internet website at http://www.mas.gov.sg (under "Regulations and Financial Stability", "Regulations, Guidance and Licensing", "Securities, Futures and Fund Management", "Forms", "Disclosure of Interests").

# Part I - Details of Person(s) giving notice

	Substar Shareh Frustee	older(s) of Trustee-I Manager/Respons	/Unitholder(s) ( <i>Form 3</i> ) Manager/Responsible Person ( <i>Form 5</i> ) ible Person ( <i>Form 6</i> ) ger/Responsible Person (SGXNet announcement template ( <i>Form 7</i> ))								
 <u>Pers</u> (a)	<u>son</u> A Deta (i)	ils of Person A Name: <u>@</u>	:								
		Ng Jwee Phuan @ Frederick (Eric)									
	(ii)	Identification Ty	pe:								
		✓ NRIC No. (for Singapore citizen and permanent residents only)									
		Foreign Identif	fication No. (FIN) (for foreign individuals only)								
			(for foreign individuals only)								
		<ul> <li>Unique Entity No. (UEN) (for Singapore registered entities only)</li> <li>Corporation Identification/Registration No. (for foreign entities only)</li> </ul>									
		Corporation Id	entitication/Redistration No. <i>Hor toreign entities only</i> )								
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(b)	<b>V</b> 5	Identification No espondence Addr singapore address	2: 50040290G								
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(b)	ি ্ Block Stree Floor	Identification No espondence Addr singapore address /House No. 8 t Name La No.	ress: Overseas address Akme Street Unit No.								
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(b)	✓ Stree Floor Build	Identification No espondence Addr singapore address /House No. 8 t Name La No. 0 ng Name 0	ress: Overseas address Akme Street Unit No.								
(b) (c)	✓   Street     Street   Floor     Build   Posta     The   ✓	Identification No espondence Addr singapore address /House No. 8 t Name La No. 2 Ing Name 0 I Code 45 address provided erson A 's address	ress: Overseas address Akme Street Unit No. pera Estate 56905 in item 2(b) above is:								

# 3. Attachments (*if any*):

(The total file size for all attachment(s) should not exceed 1MB.)

4. Remarks (*if any*):

#### Part II - Details of contact person for clarification on information contained in Form 1, 3, 5, 6 or 7 Details of Contact Person: Name: (a) Ng Jwee Phuan @ Frederick (Eric) (b) Contact Number: 7 7 6 5 9 6 9 7 4 4 + (c) Contact Email: ngjepn@chadway.biz Part III - Amended Form C

If this Form C is a replacement of a Form C which was included in an earlier notification, please provide:

(a) SGXNet announcement reference of the earlier notification which was announced on SGXNet (*the "Initial Announcement"*):

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(b) Date of the Initial Announcement:

# SECURITIES AND FUTURES ACT (CAP. 289) SECURITIES AND FUTURES (DISCLOSURE OF INTERESTS) REGULATIONS 2012

# PARTICULARS AND CONTACT DETAILS FORM

(Electronic Format)

FORM

### Explanatory Notes

- 1. Please read the explanatory notes carefully before completing this notification form.
- 2. A person giving notice under Part VII of the Securities and Futures Act (Cap. 289) (the "SFA") using Form 1, 3, 5, 6 or 7 shall also complete this form.
- 3. This form must be completed by the person(s) giving notice or another person duly authorised by him/ them to do so. The person so authorised should maintain records of information furnished to him.
- 4. Where more than one person is giving notice using a single Form 3 or 5, the contact details of all the persons giving notice in that form <u>must</u> be provided in a single Form C.
- 5. This form is to be completed electronically. The duly completed Form C and Form 1, 3, or 5 should be sent to the Listed Issuer via an electronic medium such as an e-mail attachment. The Listed Issuer will attach both forms to the prescribed SGXNet announcement template for dissemination as required under section 137G, 137R or 137ZC of the SFA, as the case may be.
- 6. While this Form C will be attached to the announcement template, it will not be disseminated to the public and is made available only to the Monetary Authority of Singapore (the "Authority"). The contact details will be used solely for regulatory review purposes.
- 7. If Form 1, 3, 5 or 6 had been released on the securities exchange and there are changes to the accompanying Form C, an amended Form C should be faxed directly to the Authority at +65 6225 1355 or e-mail to SFA\_Notifications@mas.gov.sg. [Note to Listed Issuer: If Form 1, 3, or 5 had been released on the securities exchange and there are changes to <u>your</u> Form C, please send the Authority an amended Form C by fax or e-mail to the afore-mentioned addresses.]
- 8. All applicable parts of this form must be completed.
- 9. Please select only one option from the relevant check boxes.
- 10. In this form, the term "Listed Issuer" refers to -
  - (a) a company incorporated in Singapore any or all of the shares in which are listed for quotation on the official list of a securities exchange;
  - (b) a corporation (not being a company incorporated in Singapore, or a collective investment scheme constituted as a corporation) any or all of the shares in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing;
  - (c) a registered business trust (as defined in the Business Trusts Act (Cap. 31A)) any or all of the units in which are listed for quotation on the official list of a securities exchange;
  - (d) a recognised business trust any or all of the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing; or
  - (e) a collective investment scheme that is a trust, that invests primarily in real estate and real estaterelated assets specified by the Authority in the Code on Collective Investment Schemes, and any or all the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing ("Real Estate Investment Trust").
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# Part I - Details of Person(s) giving notice

Person(s) giving notice is a/are: 1.

- Director/Chief Executive Officer (Form 1)
- Substantial Shareholder(s)/Unitholder(s) (Form 3)
- Shareholder(s) of Trustee-Manager/Responsible Person (Form 5)
- Trustee-Manager/Responsible Person (Form 6)
- Corporation/Trustee-Manager/Responsible Person (SGXNet announcement template (Form 7))

#### $\bigcirc$ Person A

2.

- Details of Person A : (a)
  - Name: 🛈 (i)

Chasen Holdings Limited

Identification Type: (ii)

- NRIC No. (for Singapore citizen and permanent residents only)
- Foreign Identification No. (FIN) (for foreign individuals only)
- Passport No. (for foreign individuals only)
- ✓ Unique Entity No. (UEN) (for Singapore registered entities only)
- Corporation Identification/Registration No. (for foreign entities only)

Identification No: |199906814G

#### (b) Correspondence Address:

	✓ Singapore addre	ss 🔲 Overseas address
	Block/House No.	18
	Street Name	JALAN BESUT
	Floor No.	Unit No.
	Building Name	
	Postal Code	619571
(c)	✓ Person A 's add	led in item 2(b) above is: lress is an entity, please specify the name of the officer to receive correspondence:
	Yap Beng Geok Do	rothy
	Person A 's car	e of address

# 3. Attachments (*if any*):

(The total file size for all attachment(s) should not exceed 1MB.)

# 4. Remarks (*if any*):

Deta	ails of Contact Person:
(a)	Name:
	Yap Beng Geok Dorothy
(b)	Contact Number:         +       6       2       6       5       9       7       8
(c)	Contact Email:
	dorothy@chasen-logistics.com

If this Form C is a replacement of a Form C which was included in an earlier notification, please provide:

(a) SGXNet announcement reference of the earlier notification which was announced on SGXNet (*the "Initial Announcement"*):

(b)	Da	ate	of	the	Ini	tia	I Ai	nnc	bun	ce	me	nt:			